## Chapter 3 Methodology

#### 3-1.0 Introduction

This methodology includes components for deriving water quality criteria from both large and small data sets. Components were selected based on evaluations and recommendations in the Phase I report (TenBrook & Tjeerdema 2006) and in Chapter 2 of this Phase II report. For a given compound, the criteria derivation method will depend on the richness of the available data. Figures 3.1 and 3.2 are flow-charts summarizing procedures for collection, evaluation and reduction of data sets, and for acute and chronic criteria derivation. Due to the large number of figures and tables in this chapter, all are presented in Appendices 3A and 3B to improve readability of the text. The methodology is presented in the format of a standard operating procedure.

#### 3-2.0 Data

This section provides details of how to collect, summarize, evaluate and reduce data to be used in criteria derivation.

#### 3-2.1 Collect data

Utilizing the sources listed in Table 3.1, collect physical-chemical and ecotoxicity data for the pesticide of concern. This is not an exhaustive list, but does contain sufficient resources to find virtually all available physical-chemical and ecotoxicity data for a given pesticide. Table 3.2 gives web addresses for electronic resources. For  $K_{ow}$  values, the LOGKOW database is recommended (Sangster Research Laboratories 2004). Table 3.3 lists the kinds of physical-chemical and ecotoxicity data that should be collected. As this methodology is for derivation of criteria specifically for the Sacramento and San Joaquin River watersheds, only use data for freshwater species that are members of families with reproducing populations in North America will be used for criteria derivation, but all data should be collected as it may be used for supporting information or for derivation of an acute-to-chronic ratio (ACR). Literature searches should go back far enough to cover from the time a pesticide was first developed to the present.

The rest of this section provides specific guidance and definitions regarding what kinds of ecotoxicity data should be collected.

#### **3-2.1.1** Definitions of acute and chronic toxicity data

### <u>Acute</u>

- 1) Crustacean or insect tests with exposures lasting 24-96 h; (RIVM 2001; Siepmann & Finlayson 2000; USEPA 1985; 2003d);
- 2) Fish, mollusk or amphibian tests with exposures lasting 96 h (RIVM 2001);

3) Shellfish embryo, larval, or older life-stage tests with exposures lasting 96 h (USEPA 1985; 2003d).

## Chronic (all from USEPA 1985; 2003d)

- 1) Single-celled organism tests of any exposure duration;
- 2) Any test that takes into account the number of young produced, regardless of exposure duration;
- 3) Full life-cycle exposure tests (ranging form 7 d for mysids to 15 months for salmonids);
- 4) Partial life-cycle exposure tests (all major life stages exposed in less than 15 mo; specifically for fish that require more than a year to reach sexual maturity);
- 5) Early life-stage exposure tests (ranging from 28-60 d; also specifically for fish).

## 3-2.1.2 Toxicity values

For derivation of acute criteria, obtain LC or  $EC_{50}$  values from acute toxicity tests. For derivation of chronic criteria or acute-to-chronic ratios, obtain maximum acceptable toxicant concentrations (MATCs). Chronic data expressed as  $EC_x$  values (from regression analysis), may be used for criteria derivation only if studies are available to show what level of x is appropriate to represent a no-effect level.

If not reported in a study, LC/EC<sub>50</sub> values may be calculated if raw data are available. Likewise, MATC values can be calculated as the geometric mean of the no observed effect concentration (NOEC) and the lowest observed effect concentration (LOEC). If NOEC or LOEC values are not stated in a report, but data were evaluated statistically, then the following calculations may be made (based on RIVM 2001):

- a) The highest reported concentration not statistically different from the control (p < 0.05) is the NOEC; the NOEC is not used in criteria derivation, but is needed for calculation of the MATC;.
- b) The lowest reported concentration that is statistically different from the control (p < 0.05) is the LOEC; the LOEC is not used in criteria derivation, but is needed for calculation of the MATC;.
- d) For a MATC expressed as a range of values, the NOEC is the lower value, the LOEC is the higher value and the MATC may be calculated as the geometric mean, as described previously.

## **3-2.1.3 Endpoints**

Appropriate endpoints for criteria derivation are those that measure survival, growth or reproductive effects. This includes measures of immobility, as well as population level endpoints, such as r (intrinsic rate of population growth) and  $\lambda$  (factor by which a population increases in a given time). Endpoints other than survival, immobility, growth, reproduction, r or  $\lambda$  may be used in criteria derivation if those endpoints have been linked to effects on survival, growth, or reproduction. For example, if a study has determined that an 80% effect on acetylcholinesterase (AChE) inhibition is significant (in either an acute or chronic

exposure), and if 80% AChE inhibition is shown to lead to mortality *for that species*, then an IC<sub>80</sub> value (concentration that causes 80% inhibition compared to the control) may be used as a toxicity value in criteria derivation. Alternatively, if that same study determined a lowest observed effect concentration (LOEC) that represents 80% reduction from control, then the corresponding maximum acceptable toxicant concentration (MATC) value from that study may be used in criteria derivation or for derivation of an acute-to-chronic ratio. It is important to emphasize that levels of sub-lethal effects that lead to reductions in survival, growth, or reproduction are species specific. If no data are available linking effects such as endocrine disruption, enzyme induction, enzyme inhibition, behavioral effects, histological effects, stress protein induction, changes in RNA or DNA levels, mutagenicity, and carcinogenicity to survival, growth or reproduction, these data are not to be used directly for criteria derivation.

## 3-2.1.4 Multispecies (field/semi-field) data

Multi-species data are not used in this methodology for criteria derivation. However, they should be collected because multispecies laboratory, field, or semi-field data are used in section 3-6.3 for comparison to criteria derived from single-species data (OECD 1995; RIVM 2001), and may provide justification for adjustment of a final criterion (RIVM 2001; USEPA 1985; 2003d; Zabel & Cole 1999).

## 3-2.2 Fill chronic toxicity data gaps with estimation techniques

To supplement chronic data sets, extrapolation techniques may be used to estimate chronic toxicity based on acute toxicity data. Perform time-concentration-effect (TCE) analysis using USEPA's acute-to-chronic estimation software (ACE, v. 2.0, USEPA 2003b, available for free download at http://www.epa.gov/ceampubl/fchain/index.htm). The software comes with a user's manual that fully explains the models used, explains how to choose a model, describes model limitations, and gives guidance on how to use the software. At this time, the models are only valid for mortality data. The ACE program requires data with three components: exposure concentration, degree of response, and time course of effect. This requires having access to raw toxicity data that includes exposure concentrations and measurements of effects at multiple timepoints. Full documentation of the ACE program is included in Appendix 3C.

The ACE program output provides estimated toxicity values for a range of mortality levels and a range of chronic exposure periods. For the accelerated life testing (ALS) model, a 1% mortality level is recommended to represent a NOEC, while for the multifactor probit analysis (MPA) and linear regression analysis (LRA) models a 0.01% effect level is recommended (USEPA 2003b). The exposure period should be selected to reflect a full life-cycle of the organism used in the acute study. Chronic toxicity values resulting from TCE extrapolation are expressed as NOECs and may be used in species sensitivity distribution (SSD) criteria derivation procedures.

#### 3-2.3 Evaluate data

In this section, guidance is given for how to determine if data are relevant and reliable for use in deriving water quality criteria.

## 3-2.3.1 Physical-chemical data

Evaluate physical-chemical data according to whether it was obtained by an appropriate method that was properly used. Table 3.4 indicates acceptable methods for determination of a number of physical-chemical parameters other than  $K_{\rm ow}$ . Table 3.5 indicates acceptable methods specifically for determination of  $K_{\rm ow}$  values. The methods shown in Table 3.5 are listed in order of preference; computational methods should only be used if no measured data are available. The recommended values in the LOGKOW database (Sangster Research Laboratories 2004) may be used without further review because they have been thoroughly reviewed before inclusion in the database. Physical-chemical parameters reported by manufacturers may also be used without further review as they are widely accepted, and original studies are usually not published. Physical-chemical parameters determined by methods not shown in Tables 3.4 and 3.5 (or equivalent methods) should be used with caution.

#### 3-2.3.2 Ecotoxicity data

Tests that involve in vitro exposures of organs or tissues (i.e., were not whole-body exposures) and tests which report toxicity values greater than 2x the geometric mean of available water solubility values for the pesticide are not useful even as supporting information and can be eliminated without further consideration. For compounds with logK<sub>OW</sub> between 5 and 7, laboratory tests should be eliminated from consideration if feeding regimes did not minimize or eliminate interaction of pesticide with food particles. For all other tests, evaluate the relevance using the rating system in Table 3.6 and assign a rating of R, L or N based on the scale in Table 3.11. Tests that score < 70 (i.e., rating = N) do not need to be evaluated further. All single-species tests with a relevance score > 70 (i.e., rating = R or L) should be summarized using the data sheet shown in Figure 3.3. These sheets help to ensure that all relevant information is drawn from each study. Using the data in these sheets, and the rating systems shown in Tables 3.7 and 3.8, evaluate single-species aquatic ecotoxicity studies on two aspects of reliability: 1) documentation; and 2) acceptability. Evaluate other types of aquatic toxicity tests (i.e., multispecies laboratory/field, microcosm, mesocosm) on documentation and acceptability using Table 3.9. Evaluate terrestrial toxicity studies on documentation alone using Table 3.10. Assign reliability ratings to each study according to Table 3.11. Specific instructions for rating various kinds of ecotoxicity studies are given below.

## Single-species laboratory studies

1) Rate relevance using the scoring system in Table 3.6; if, and only if, the relevance score is  $\geq$  70, go on to the following steps; if the relevance score is < 70, the test is not usable and does not need to be evaluated further;

- 2) Rate documentation using the scoring system in Table 3.7;
- 3) Rate acceptability using the scoring system in Table 3.8;
- 4) Average the scores from 2 and 3 for an overall reliability rating;
- 5) Assign the study to a category based on reliability and relevance scores according to Table 3.11;
- 6) Use studies rated RR for criteria derivation; use studies rated RL, LR or LL as supporting data; do not use studies receiving N ratings.

## Aquatic outdoor field data/indoor model ecosystems (including microcosms/mesocosms)

- 1) Rate documentation and acceptability using the scoring system in Table 3.9.
- 3) Assign a reliability rating of R, L, or N using the scoring system in Table 3.11.
- 4) Use studies rated R or L to evaluate potential ecosystem effects (section 3-6.3); do not use studies rated N.

#### Terrestrial laboratory/field data

- 1) Rate documentation using scoring system in Table 3.10.
- 2) Assign a reliability rating of R, L, or N using the scoring system in Table 3.11.
- 3) Use studies rated R or L to assess potential hazards due to pesticide bioaccumulation (section 3-6.2); do not use studies rated N.

#### 3-2.4 Reduce data

For criteria derivation, data must be reduced such that each species has one representative data point in the final data set. In cases where there is more than one toxicity value for a species, reduce data to a single species mean acute value (SMAV) or species mean chronic value (SMCV).

Following are the specific data reduction procedures:

- 1) Calculate SMAVs/SMCVs as the geometric mean of toxicity values from one or more acceptable tests with the same endpoints (ANZECC & ARMCANZ 2000; ECB 2003; OECD 1995; RIVM 2001; USEPA 1985; 2003d);
- 2) If data are available for life stages that are at least a factor of two more resistant than another life stage for the same species, then do not use the data for the more resistant life stage to calculate the SMAV because the goal is to protect all life stages (RIVM 2001; USEPA 1985; 2003d);
- 3) If data are available for one species, but for multiple appropriate endpoints (see section 3-2.1.3), then use the data for the most sensitive endpoint (ANZECC & ARMCANZ 2000; ECB 2003; OECD 1995; RIVM 2001);
- 4) If a NOEC is not explicitly reported in chronic toxicity studies, but statistical analysis was done, the NOEC may be determined as the highest reported concentration not statistically

different from the control (p < 0.05, RIVM 2001); the NOEC is not used in criteria derivation, but is needed for calculation of the MATC;

- 5) Similarly, if a LOEC is not explicitly reported in chronic toxicity studies, it may be determined as the lowest reported concentration that is statistically different from the control (p < 0.05); the LOEC is not used in criteria derivation, but is needed for calculation of the MATC;
- 6) If a MATC is not reported, it may be calculated as the geometric mean of the NOEC and LOEC;
- 7) If no toxicity values were reported, but raw data are available, calculate values using appropriate statistical methods (ECB 2003);
- 8) If a MATC is expressed as a range of values, recalculate the MATC as the geometric mean of the high and low values (RIVM 2001);
- 9) If reasons for differences between tests for the same species/endpoints are found, then data may be grouped according to appropriate factors (e.g., pH or temperature; ECB 2003). Selection of the appropriate value to use in criteria derivation should be based on standard test parameters. Tests conducted under non-standard conditions (vs. standard conditions as defined in standard test methods) may be used to derive quantitative relationships between those conditions and toxicity (as in USEPA 1985; 2003d). If such a relationship is established then toxicity values derived under non-standard conditions may be translated to standard conditions and added to the criteria derivation data set. If no quantitative relationship can be derived then tests conducted under non-standard conditions should not be used for criteria derivation, but may be used as supporting information.
- 10) If data are available for multiple time points from crustacean or insect acute toxicity studies use the latest time point (i.e., 96-h tests are preferred over tests of < 96 h);
- 11) For a given species, use data from flow-through tests in which concentrations were measured, if it is available. If such data are not available, then data from static or static-renewal tests and/or tests in which concentrations were not measured may be used as long as they are rated otherwise relevant and reliable.
- 12) Further reduction may be needed in the course of SSD analysis if, and only if, the full data set cannot be fit to a Burr Type III distribution (procedure described in section 3-3.1.2). If this is the case then examine the data for multi-modality and/or outliers. If visual inspection indicates that the distribution is multi-modal, divide the data into subsets and fit a distribution to the subset containing the lowest values. (ANZECC & ARMCANZ 2000). Address outliers as follows:
  - a) If only one data point is suspect, use the procedure described by Sokal & Rohlf (1995) to determine if the point is an outlier. This procedure, outlined below, can be used to delete only a single value from a data set.

- i) Order the data from low to high or high to low so that the suspected outlier is the first value in the list. Call this value  $Y_1$  and number the subsequent values  $Y_2, Y_{n3}...Y_n$ .
- ii) Use the appropriate equation for the sample size (n) as indicated below to determine the critical ratio (r, from Dixon 1953).

For sample sizes of n=3-7:

$$r = \frac{Y_2 - Y_1}{Y_n - Y_1} \tag{3.1}$$

For sample sizes of 8-10:

$$r = \frac{Y_2 - Y_1}{Y_{n-1} - Y_1} \tag{3.2}$$

For sample sizes of n=11-13:

$$r = \frac{Y_3 - Y_1}{Y_{n-1} - Y_1} \tag{3.3}$$

For sample sizes of n = 14-25:

$$r = \frac{Y_3 - Y_1}{Y_{n-2} - Y_1} \tag{3.4}$$

iii) Compare the value of r with the critical value (p = 0.05) in Table 3.12. If r is greater than the critical value, then the value  $Y_n$  is an outlier.

iv. If the sample size is > 25, then use the equation below to determine the value of r (from Grubbs & Beck 1972):

$$r = \frac{Y_1 - \overline{Y}}{S} \tag{3.5}$$

where:

r = ratio

 $Y_1$  = suspected outlier

 $\overline{Y}$  = sample mean

s = sample standard deviation

v. Compare the value of r with the critical value in Table 3.13. If r is greater than the critical value, then the value  $Y_n$  is an outlier.

- b) If two or more data points appear to be outliers, use a box plot to identify values that fall outside 1.5x the interquartile range (Quinn & Keough 2002; Tukey 1970).
- c) If outliers are identified by methods a) or b), check the values to be sure they are not mistakes (i.e. typographical or transcriptional errors) and review the original studies again to be sure that all test conditions were appropriate (Quinn & Keough 2002). If errors are found, or a problem was found with a study (e.g. inappropriate dilution water was used, or the temperature was not maintained correctly), then remove the outliers from the data set and fit a Burr Type III distribution to the remaining data.
- d) If no justification can be found for removal of outliers, and a Burr Type III distribution cannot be fit with the outlier(s) in the set, then remove the statistical outliers and fit a distribution to the remaining data, with the understanding the criteria derived in this way may be over- or under-protective, depending on whether the deleted data were high or low outliers. This approach is reasonable because, as with all criteria derived from this methodology, criteria derived from altered data sets must be evaluated to determine if they will provide adequate protection (section 3-6.0).

Once data are collected, evaluated, selected and reduced, criteria derivation may begin.

#### 3-3.0 Derive acute criterion

#### 3-3.1 Species sensitivity distribution (SSD)

## 3-3.1.1 Data requirements

Collect, evaluate and reduce data as described in sections 3-2.0 through 3-2.4. For derivation of acute or chronic criteria by the SSD method a minimum of 5 data from 5 different families are required. The data set must include:

- a. The family Salmonidae;
- b. A warm water fish;
- c. A planktonic crustacean, of which one must be in the family Daphniida in the genus *Ceriodaphnia, Daphnia*, or, *Simocephalus*;
- d. A benthic crustacean;
- e. For non-herbicides: an insect (aquatic exposure); for herbicides: an alga or vascular aquatic plant.

If such data are not available, then use the AF method described in section 3-3.2.

#### 3-3.1.2 Procedure

Combine data from all taxa, including plants, for this procedure. From the fitted distribution, determine the concentrations that will protect 95% of species with 50% confidence (95:50), 95% of species with 95% confidence (95:95), 99% of species with 50% confidence (99:50), and 99% of species with 95% confidence (99:95). The number that is most robust of these is the one selected to protect 95% of species with 50% confidence. This median 5<sup>th</sup> percentile estimate is recommended for derivation of the acute criterion. The other numbers may be used if more conservative numbers are desired, but since they come from the extreme tails of the distributions they are less reliable.

#### 3-3.1.2.1 Median estimate

Derive criteria using the SSD method described in ANZECC & ARMCANZ (2000). Using any statistical package that is capable, fit the data to a Burr Type III distribution (Burr III, inverse Weibull, or inverse Pareto, Burr 1942), and calculate the 1<sup>st</sup> and 5<sup>th</sup> percentile values using the following equations (record to three significant figures):

$$PC(q) = \frac{b}{\left[\left(\frac{1}{1-q}\right)^{\frac{1}{k}} - 1\right]^{\frac{1}{c}}}$$
(3.6)

Where:

PC(q) is the protecting concentration that will protect q% of species; thus, the 5<sup>th</sup> percentile is calculated by setting q = 95;

q = percent of species to protect;

*b*, *c*, *k* are fit parameters.

For reciprocal Weibull (for cases when  $k \to \infty$ ):

$$PC(q) = (-\alpha/\ln(1-q))^{\frac{1}{b}}$$
 (3.7)

Where:

PC(q) and q are as described for Burr III;  $\alpha$  and  $\beta$  are fit parameters.

For reciprocal Pareto (for cases when  $c \to \infty$ )::

$$PC(q) = x_0 (1 - q)^{\frac{1}{\theta}}$$
 (3.8)

Where:

PC(q) and q are as described for Burr III;  $x_0$  and  $\theta$  are fit parameters.

Note that it is acceptable to use any statistical package that can fit Burr Type III distributions to accomplish this calculation and the calculation of confidence limits discussed in the following section. The BurrliOZ program, which was developed specifically for use in deriving target values in the ANZECC & ARMCANZ (2000) methodology, is available for free from the CSIRO website at http://www.cmis.csiro.au/Envir/burrlioz/. Documentation and information for this program are included in Appendix 3C. The BurrliOZ software comes with a caution that for data sets of eight or fewer values, there is great uncertainty in the calculated toxicity values. The software authors provide a procedure to follow in such cases. This procedure is presented in section 3-3.1.2.3 and full documentation is provided in Appendix 3C.

#### 3-3.1.2.2 Calculation of confidence limits

The values calculated in section 3-3.1.2.1 represent median estimates of the 1<sup>st</sup> and 5<sup>th</sup> percentiles. To estimate the lower 95% confidence limit for these estimates, utilize the following bootstrapping technique (CSIRO 2001):

- a) Resample the original data set, with replacement, to create a new data set the same size as the original set and calculate 1<sup>st</sup> and 5<sup>th</sup> percentile values from the new data set. Repeat this resampling and recalculation procedure 200-1000 times. At least 501 resamplings are recommended (ANZECC & ARMCANZ 2000) methodology; fewer will give a less certain estimate; more will give a more certain estimate, but will require more calculation time.
- b) Order the bootstrapped estimates from lowest to highest (separately for the 1<sup>st</sup> and 5<sup>th</sup> percentile SSD estimates) and select the 5<sup>th</sup> percentile value; this represents the lower 95% confidence limit estimate of the 1<sup>st</sup> or 5<sup>th</sup> percentile of the SSD.

These procedures can be accomplished using the program BurrliOZ v. 1.0.13 (CSIRO 2001). Full documentation is available in Appendix 3C. The software can be obtained at http://www.cmis.csiro.au/Envir/burrlioz/.

#### 3-3.1.2.3 Procedure in cases of 8 or fewer values in the data set.

Follow this procedure, recommended by CSIRO (2001; readme file for BurrliOZ software) if the SSD method has been used with a data set containing 8 or fewer values. Full details are given in Appendix 3C.

If the BurrliOZ program was used, inspect the graphical output from the program. If by visual inspection the Burr Type III distribution appears to fit the data better than the log-logisite distribution, then accept the numbers generated in section 3-3.1.2.

If the log-logistic distribution appears to give a better fit, or if no graphical comparison is available, then fit the data to a log-logistic distribution using a statistics package capable of the analysis. An example of such a program, ETX v.1.3 (Aldenberg 1993) is documented in Appendix 3C and software can be obtained from RIMV by contacting info@rivm.nl. Once the fit parameters ( $\alpha$  and  $\beta$ ) have been determined, utilize the following equation to determine 1<sup>st</sup> and 5<sup>th</sup> percentile values:

$$p = \frac{100}{1 + \exp(-[\ln(x) - \alpha]/\beta)}$$
(3.9)

where:

 $p = \text{percentage of species unaffected at } x; \text{ set } p = 1 \text{ to calculate the } 1^{\text{st}} \text{ percentile}; p = 5 \text{ for the } 5^{\text{th}} \text{ percentile}$ 

x =toxicity value at p;

 $\alpha$  = sample mean;

 $\beta=k_L$  .  $s_{\text{n}}\!/C_5.$ 

and:

 $k_L$  = extrapolation constant; dependent on sample size; selected for either median or lower 95<sup>th</sup> percentile estimate (see Table 3.14);

 $s_n$ = sample standard deviation; n = sample size;

 $C_5 = constant = 2.9444.$ 

Determine expected values for the data in the data set based on calculated cumulative frequencies calculated as follows:

Cumulative frequency = 
$$\frac{rank - 0.5}{n}$$
 (3.10)

Where:

rank = position in set of ordered data (ranked from lowest to highest)n = sample number

Equations 3.6-3.9 can be used to determine expected values for Burr III and log-logistic distributions based on the expected cumulative frequency values. Once expected values are obtained, perform a correlation analysis of the actual versus predicted values for each distribution. The model that has the higher r value is the best fitting distribution and should be used to calculate the 1<sup>st</sup> and 5<sup>th</sup> percentile values for the data set.

Recommended acute criterion =  $(5^{th}$  percentile value at 50% confidence level)  $\div 2$ 

Alternatively, more conservative values may be derived from other percentile or confidence levels.

If toxicity is quantitatively related to a water quality parameter, follow procedures in section 3-5.3 for appropriate expression of the criterion.

## 3-3.2 Assessment factor (AF) method

If data requirements for the SSD procedure cannot be met, then the AF method must be used to derive criteria. Divide the lowest species mean acute value from the data set by a factor (Table 3.15). The size of the factor is dependent on the number of data available, and at least one of the available, acceptable data must be from the family Daphniidae in the genus *Daphnia*, *Ceriodaphnia*, or *Simocephalus*. Additional data must be from different families as per the list of those required for the SSD method, such that each additional value is building toward completion of the minimum SSD data set. The resulting value represents an estimate of the median 5<sup>th</sup> percentile value of the SSD.

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Acute criterion = (lowest value in data set \div assessment factor) \div 2 = estimated 5<sup>th</sup> perentile value \div 2
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If toxicity is quantitatively related to a water quality parameter, follow procedures in section 3-5.3 for appropriate expression of the criterion.

#### 3-4.0 Derive chronic criterion

#### 3-4.1 SSD method

If at least five chronic toxicity data are available for species from five different families (either from direct measurements or from TCE estimates as described in section 3-2.2), then follow the instructions in section 3-3.1.2 to to determine a chronic 5<sup>th</sup> and 1<sup>st</sup> percentile values at various confidence levels. If such data are not available, then proceed to section 3-4.2 for derivation of a chronic criterion by application of an ACR to the acute criterion. A chronic value derived by the SSD method does not require any further adjustment by a safety factor because this value is derived from long-term no-effect toxicity values and may be used directly as a criterion. As for acute toxicity, the median 5<sup>th</sup> percentile value (i.e., the 95:50 value from BurrliOz) is recommended for use as the chronic criterion. Other percentiles and confidence levels may be used if a more conservative estimate is desired. If toxicity is quantitatively related to a water quality parameter, follow procedures in section 3-5.3 for appropriate expression of the criterion.

#### 3-4.2 Chronic criterion using an acute-to-chronic ratio (ACR)

When chronic data are lacking, use acute-to-chronic ratios (ACRs) to extrapolate from acute to chronic toxicity. Derive ACRs by following the procedures in sections 3-4.2.1 through 3-4.2.3, in order (taken from ANZECC & ARMCANZ 2000; USEPA 1985; 2003d).

#### 3-4.2.1 Single-chemical, multispecies ACR based on measured data

This procedure requires acute and chronic data from organisms in at least three different families including a fish, an invertebrate, and at least one other acutely sensitive species. For each chronic value (MATC) having at least one corresponding appropriate acute value, an ACR is calculated by dividing the geometric mean of all acceptable flow-through acute tests by the chronic value. Static tests are acceptable for midges, daphnids and other zooplankton. For fish, the acute test(s) should be conducted with juvenile or younger fish. For all species, the acute test(s) should be part of the same study and use the same dilution water as the chronic test. If acute tests were not conducted as part of the same study, but were conducted as part of a different study in the same laboratory and dilution water, then they may be used. If no such acute tests are available, results of acute tests conducted in the same dilution water in a different laboratory may be used. If there are not enough freshwater data to fulfill the ACR data requirements, then saltwater species may be used because freshwater and saltwater ACRs have been shown to be comparable (USEPA 1985) and this approach has been and accepted in numerous criteria derivations (Siepmann & Finlayson 2000; USEPA 1980a; b; c; d; 2003a; 2005).

The species mean acute-to-chronic ratio (SMACR) is calculated for each species as the geometric mean of all ACRs available for that species. For some materials, the ACR seems to be the same for all species, but for other materials the ratio seems to increase or decrease as the SMAV increases. Thus the final, multi-species ACR can be obtained in one of three ways, depending on the data available:

- 1) If the SMACR seems to increase or decrease as the SMAVs increase, calculate the ACR as the geometric mean of the ACRs for species whose SMAVs are close to the acute criterion (this includes species whose SMACRs are within a factor of 10 of the SMACR of the species whose SMAV is nearest the 5<sup>th</sup> percentile value):
- 2) If no major trend is apparent and the ACRs for all species are within a factor of ten, calculate the ACR as the geometric mean of all of the SMACRs;
- 3) If the most appropriate SMACRs are less than 2.0, and especially if they are less than 1.0, acclimation has probably occurred during the chronic test. In this situation, assume the final ACR to be 2.0, so that the chronic criterion is equal to the acute criterion.

If the data requirements of this section cannot be met, or if the ACR cannot be obtained by one of methods 1, 2 or 3 above, then derive the ACR by the procedure in section 3-4.2.2.

## 3-4.2.2. Single-chemical, multispecies ACR based on measured and/or assumed values

If not enough data are available for calculation of an ACR according to the procedure in section 3-4.2.1, then derive the ACR by calculating the geometric mean of any available measured ACRs plus enough assumed ACRs of 12.4 to give a total of 3 ACRs (USEPA

2003d). For example, if no measured ACRs are available, then three assumed, or default, ACRs are used. If two measured values are available, then just one assumed value is used.

#### 3-4.2.3 Default ACR

The default ACR for pesticides for this methodology is 12.4. Derivation of this value is described in Chapter 2. This default value may be revised if: 1) data sets collected according to this methodology lead to different ACR values, 2) if previously calculated ACRs are shown to be invalid based on data sets collected according the this methodology; or 3) additional pesticide ACR values become available. In any of these events, the default ACR should be recalculated as the 80<sup>th</sup> percentile value of the new set of ACRs. Table 3.16 shows the current set of ACRs used to calculate the default value. Any future revisions of the value should start with this data set.

#### 3-4.2.4 Calculation of the chronic criterion

Calculate the chronic criterion by dividing the acute 5<sup>th</sup> (or 1<sup>st</sup>) percentile value (derived by the SSD method or estimated by the AF method) by the ACR (derived by one of the three methods in sections 3-4.2.1 through 3-4.2.3). This approach is equivalent to that in the USEPA methodologies which divide the Final Acute Value (i.e., the 5<sup>th</sup> percentile value) by the ACR to derive the chronic criterion (USEPA 1985; 2003d):

Chronic Criterion = (Selected percentile value) ÷ ACR

If toxicity is quantitatively related to a water quality parameter, follow procedures in section 3-5.3 for appropriate expression of the criterion.

#### 3-5.0 Water quality effects

If the toxicity of a chemical can be quantitatively related to one or more water quality characteristics then either express criteria in the form of equations that quantify the relationship, or use the relationship to determine site-specific compliance with criteria. For organic pesticides, the water quality characteristics of primary concern are effects of suspended particulate matter on bioavailability, the effects of pesticide mixtures, and the effects of temperature, pH, or other parameters on toxicity. Section 3-5.1 addresses bioavailability; section 3-5.2 presents methods for compliance determination in cases where pesticide mixtures are present, and; section 3-5.3 presents the methods used by USEPA (1985; USEPA 2003d) for expression of criteria in the form of equations relating pH, temperature, or other parameters to toxicity.

## 3-5.1 Bioavailability

If significant levels of suspended and/or dissolved solids co-occur with pesticides in a water body, then it may be desirable to consider the effects of solids on the bioavailability of pesticides in determining compliance with derived criteria. The following approach is recommended:

- 1. In the water column, pesticides may be sorbed to solids, sorbed to dissolved solids, or freely dissolved in the water. If studies show that all three phases are bioavailable, then compliance must be based on total concentration of pesticide in water. Likewise, if no data are available regarding bioavailable phases for a given pesticide, then compliance must be based on total concentration.
- 2. If studies indicate that fewer than three phases are bioavailable, then compliance may be based on concentrations in the bioavailable phases. The most direct way to determine compliance in this case is to measure concentrations in each phase and determine the total bioavailable concentration. Alternatively, concentration in the dissolved phase may be estimated from measurement of total concentration by using the following three-phase equilibrium partitioning model (Eadie *et al.* 1990):

$$C_{dissolved} = \frac{C_{total}}{1 + (K_{OC} \cdot [P]) + (K_{DOC} \cdot [DOC])}$$
(3.11)

Where:  $C_{dissolved}$  = concentration of chemical in dissolved phase ( $\mu g/L$ )

 $C_{total}$  = total concentration of chemical in water (µg/L)

 $K_{OC}$  = organic carbon-water partition coefficient (l/kg) for suspended solids;

[P] = concentration of suspended particulates in water (kg/L);

 $K_{DOC}$  = organic carbon-water partition coefficient (l/kg) for dissolved solids;

[DOC] = concentration of dissolved solids in water (kg/L)

. To use this model requires measuring total pesticide concentration in water, as well as total and suspended solids. Site-specific  $K_{OC}$  and  $K_{DOC}$  values must also be available.

3. To estimate bioavailable concentrations of pesticide without specific knowledge of which phases are bioavailable, passive sampling devices may be of use. However, they have a number of technical limitations and will not be useful for determination of compliance with acute criteria.

#### 3-5.2 Mixtures

As recommended in Phase I (TenBrook & Tjeerdema 2006) only the additive concentration addition model (for pesticides with similar modes of action, Plackett & Hewlett 1952) and the non-additive interaction model (for chemicals that display antagonistic or synergistic interactions, Finney 1942) are included in this methodology. Two approaches to using the concentration addition model are presented. The non-additive interaction model is presented with the caveat that it can only be applied in cases where a valid coefficient of interaction (K) is available (either a multispecies value, or individual species values). Without multispecies K values, this technique should not be used to assess compliance with water quality criteria, but K values for individual species could be used to assess the potential harm from non-additive toxicity on a species by species basis. A final caveat is that application of all of these mixture models requires that each pesticide that is considered in the model has a numeric water quality criterion.

## 3-5.2.1 Concentration addition—for pesticides with similar modes of action

Two equally valid approaches to compliance determination for mixtures of similarly-acting pesticides are presented: the toxic unit approach and the relative potency factor approach (as suggested by Felsot 2005). Regulators may choose which to use.

## 3-5.2.1.1 Toxic unit approach

According to the toxic unit approach (CVRWQCB 2004) compliance with water quality criteria is determined as follows:

$$\sum_{i=1}^{n} \frac{C_i}{O_i} < 1.0 \tag{3.13}$$

where:

 $C_i$  = concentration of toxicant i in water

 $O_i$  = water quality objective/criterion for toxicant i

As long as the sum is < 1.0, the water body is considered to be in compliance with respect to the mixture.

## 3-5.2.1.2 Relative potency factor (RPF) approach

The relative potency factor (RPF) approach suggested by Felsot (2005) is analogous to the toxic equivalency factor (TEF) approach used in assessing toxicity of dioxin and dioxin-like compounds (Van Den Berg *et al.* 1998). To use this method for a group of similarly-acting chemicals, select one chemical (usually the most toxic) to be the reference chemical. For each chemical in the group, determine an RPF using the following equation:

$$RPF_{i} = \frac{Criterion_{xR}}{Criterion_{vi}}$$
(3.14)

where:

 $RPF_i$  = relative potency factor

 $Criterion_{xR}$  = water quality criterion (acute or chronic) of reference chemical (µg/L)  $Criterion_{xi}$  = water quality criterion (acute or chronic) of the *i*th chemical (µg/L)

Use each RPF value to calculate the toxic equivalents of each component of the mixture with respect to the reference chemical:

$$TE_i = RPF_i * C_i \tag{3.15}$$

where:

 $TE_i$  = toxic equivalents of *i*the component of the mixture ( $\mu$ g/L)  $RPF_i$  = relative potency factor of the *i*th component of the mixture  $C_i$  = concentration of the *i*th component of the mixture ( $\mu$ g/L)

Determine compliance with the criterion for the reference chemical using the following equation:

$$TE_{total} = C_R + \sum_{i}^{i} TE_i \tag{3.16}$$

where:

 $TE_{total}$  = total toxic equivalents of mixture (µg/L)  $C_R$  = Concentration of reference chemical (µg/L)

If  $TE_{total} \le$  the criterion for the reference compound, then the water body is in compliance.

## 3-5.2.2 Non-additivity; synergism and antagonism

If a valid, multispecies interaction coefficient (K; discussed in Chapter 2) is available for a known synergist or antagonist over a range of concentrations, then this procedure may be followed to determine compliance of mixtures.

First, determine the adjusted, or effective, concentration of a chemical in the presence of an antagonist or synergist:

$$C_a = C_m(K) \tag{3.17}$$

where:

 $C_a$  = adjusted, or effective, concentration of chemical

 $C_m$  = concentration measured

K = coefficient of interaction, specific to the synergist/antagonist at a particular concentration

Compare the adjusted concentration to the criterion to determine compliance. Additionally, the adjusted concentration can be used in the additivity models described in section 3-5.2.1. If single-species K values are available over a range of concentrations, this approach may be used to assess potential for harm, but should not generally be used to determine compliance with criteria. However, if the available single-species K values are for one of the most sensitive species in a data set, then this approach may be used to assess compliance.

For mixtures containing both synergists and antagonists, or multiple synergists/antagonists, equation 3.17 can be modified to include multiple K values (LeBlanc, pers. comm.. 2006):

$$C_a = C_m(K_1 K_2 ... K_n) (3.18)$$

where:

 $C_a$  and  $C_m$  are as defined in equation 3.17  $K_1, K_2, K_n = K$  values for synergist/antagonist 1, 2...n

This multiple-K value approach should not be used to assess compliance, but may be used to assess research needs.

#### 3-5.3 Temperature, pH and other effects (USEPA 1985; 2003d)

Use this procedure (taken directly from USEPA 1985; 2003d) for both acute and chronic data. When enough acceptable data (i.e., rated RR by this methodology) are available to show that toxicity to two or more species (at least one fish and one invertebrate) is similarly related to a water quality characteristic, account for the relationship using analysis of covariance (ANCOVA). The ANCOVA may be done with a computer, or by the manual procedure outlined below. If two or more factors affect toxicity, use multiple regression analysis. Note that if a quantitative relationship is found at this step, then toxicity values obtained in otherwise acceptable studies conducted under non-standard conditions may be translated to values at standard conditions and added to the data set. Criteria would then have to be recalculated with the additional data.

# 3-5.3.1 Regress toxicity values vs. water quality values by species (based on USEPA 1985; 2003d)

For each species for which comparable acute toxicity values from acceptable studies (rated RR) are available at three or more different values of the water quality characteristic, perform a least squares regression of the acute toxicity values on the corresponding values of the water quality characteristic to obtain the slope and its 95% confidence limits for each species. Transform data as necessary to optimize model fits.

# 3-5.3.2 Assess relevance and reasonableness of data and regressions (based on USEPA 1985; 2003d)

Decide whether the data for each species are relevant, taking into account the range and number of the tested values of the water quality characteristic and the degree of agreement within and between species. For example, a slope based on six data points might be of limited value if it is based only on data for a very narrow range of values of the water quality characteristic. A slope based on only three data points, however, might be useful if it is consistent with other information and if the three points cover a broad enough range of the water quality characteristic. In addition, toxicity values that appear to be questionable in

comparison with other acute and chronic data available for the same species and for other species in the same genus should not be used. For example, if after adjustment for the water quality characteristic, the toxicity values available for a species differ by more than a factor of 10, follow the outlier procedure given in section 3-2.4 to determine if one or more values should be rejected. If useful slopes are not available for at least one fish and one invertebrate, or if the available slopes are statistically dissimilar, or if too few data are available to adequately define the relationship between acute toxicity and the water quality characteristic, then criteria should not be expressed as an equation and only results of tests conducted under standard conditions should be used for criteria derivation. If a relationship is established, then results of toxicity tests conducted under non-standard conditions can be translated to standard conditions and added to the criteria derivation data set.

## 3-5.3.3 Normalize toxicity and water quality values and re-do regression

For each species, calculate the geometric mean of the available acute or chronic values and then divide each of the values for the species by the geometric mean for the species. This normalizes the acute values so that the geometric mean of the normalized values for each species individually and for any combination of species is 1.0. Similarly normalize the values of the water quality characteristic for each species individually using the same procedure as above. Individually for each species perform a least squares regression of the normalized acute values of the water quality characteristic on the normalized toxicity values. The resulting slopes and 95% confidence limits will be identical to those obtained above with the non-normalized data, but, when the data are plotted, the line of best fit for each individual species will go through the point 1,1 in the center of the graph.

#### 3-5.3.4 Combine species to obtain a pooled slope

Treat all of the normalized data as if they were all for the same species and perform a least squares regression of all of the normalized acute values on the corresponding normalized values of the water quality characteristic to obtain the pooled acute slope, V, and its 95% confidence limits. The line of best fit for the standardized data set will go through the point 1,1 in the center of the graph.

#### 3-5.3.5 Calculate toxicity values at Z for each species

For each species calculate the geometric mean, W, of the non-normalized toxicity values and the geometric mean, X, of the values of the non-normalized water quality characteristic.

For each species, calculate Y, the mean toxicity value at a selected value, Z, of the water quality characteristic using the equation:

$$Y = W - V(X - Z)$$
 (3.18)

Where:

V = pooled slope of the regression curve

W = geometric mean of toxicity values for a species (at all levels of the water quality characteristic)

X = geometric mean of water quality characteristics for a species

Y = toxicity value for a species at selected value

Z = selected value of water quality characteristic

If data were transformed prior to derivation of regression slopes, then equation 3.18 will be:

$$lnY = lnW - V(lnX - lnZ)$$
(3.19)

And the toxicity value is calculated as:

$$e^{Y} ag{3.20}$$

NOTE: Alternatively, the toxicity values at Z can be obtained by using equations 3.18 or 3.19 and 3.20 to adjust each value individually to Z (as opposed to adjusting the geometric mean values), and then calculating the mean of the adjusted values for each species. This alternative procedure allows an examination of the range of the adjusted acute values for each species.

Derive criteria at Z (i.e., a standard toxicity tests value) by using the toxicity values derived from this procedure and the procedures described in sections 3-3.0 and 3-4.0.

The acute criterion is expressed as:

$$\underline{e^{(V[\ln(\text{waterqualitycharacteristic})] + \ln A - V[\ln Z])}}$$
(3.21)

and the chronic criterion is expressed as:

$$e^{(V[ln(waterqualitycharacteristic)]+lnA-V[lnZ])}$$
 (3.22)

where:

V = pooled acute slope

A = acute or chronic criterion at Z derived from SSD, AF, or ACR procedures

Z = selected value of water quality characteristic

Because V, A, and Z are known, criteria can be calculated for any selected value of the water quality characteristic.

#### 3-6.0 Other considerations after criteria have been derived

Once derived according to methods discussed in the procedures in section 3-3.0 and 3-4.0, criteria must be evaluated to ensure that they are set at levels that will protect against adverse effects to: 1) particularly sensitive species; 2) wildlife and human health due to

bioaccumulation; 3) ecosystems; 4) threatened and endangered species (TES); and, 5) other environmental compartments due to partitioning of chemicals from the water compartment.

## **3-6.1** Sensitive species

Derived criteria should be compared to studies of the most sensitive species to ensure that these species will be protected. If a calculated criterion is higher than toxicity values reported for a particularly sensitive species, then the criterion may require downward adjustment. This evaluation should be based only on measured toxicity values from acceptable studies (i.e., those rated RR, RL, LR, or LL).

## 3-6.2 Bioaccumulation/secondary poisoning

For bioaccumulative chemicals it is important to be sure that water quality criteria are set at levels that do not lead to unacceptable levels of chemicals in food items. This section presents a procedure for checking calculated chronic criteria for the possibility of secondary poisoning of wildlife, or possible human health effects, due to bioaccumulation in fish or other food items. Acute criteria do not require this check because they are intended to protect against short periods of elevated pesticide concentrations, making the equilibrium model inappropriate. For wildlife, this requires the availability of studies that demonstrate adverse effects from dietary intake of toxicants; for human health, this requires the availability of FDA action limits for the chemical of concern.

First, determine if the chemical of interest is known to bioaccumulate, or has the potential to bioaccumulate. This includes chemicals that have been shown to bioaccumulate in well-conducted studies (i.e. consistent with standard methods), or have one or more of the following characteristics: log  $K_{ow} > 3$ , (ECB 2003; OECD 1995); molecular weight < 1000, (OECD 1995); molecular diameter < 5.5 Å (OECD 1995); molecular length < 5.5 nm (OECD 1995); solid-water partition coefficient (log  $K_d$ ) > 3; highly adsorbent (ECB 2003), or; belong to a class of chemicals that are known to be bioaccumulative (ECB 2003). Chemicals are not expected to bioaccumulate if they are reactive and/or readily metabolized.

The next steps only apply if a chemical is bioaccumulative, or has the potential to bioaccumulate, and if dietary toxicity data or FDA action levels are available. Measured (preferred) or estimated BCF, BMF and/or BAF values for food items is required for the calculation. Use the following equation to translate dietary NOEC or  $LC_{50}$  values, or FDA action levels, into water NOEC values, (adapted from ECB 2003):

$$NOEC_{water} = \frac{NOEC_{oral-predator}}{BCF_{food\_item} \cdot BMF_{food\_item}}$$
(3.23)

or:

$$NOEC_{water} = \frac{LC_{50,oral-predator}}{BCF_{food\_item} \cdot BMF_{food\_item}}$$
(3.24)

#### where:

 $NOEC_{water}$  = NOEC in water; concentration in water below this level is not expected to lead to bioaccumulation to harmful levels in food items;

*NOEC*<sub>oral\_predator</sub> = dietary NOEC value for wildlife or FDA action level (mg pesticide/kg food);

 $LC_{50,oral\_predator}$  = dietary  $LC_{50}$  value for wildlife (mg pesticide/kg food);

*BCF*<sub>food\_item</sub> = bioconcentration factor; ratio of concentration of chemical in tissue of food item due to water-only exposure to concentration in water; whole-body, wet-weight value (ECB 2003; OECD 1995; USEPA 1985; 2003d);

 $BMF_{food\_item}$  = biomagnification factor in food item; ratio of concentration of chemical in predator to concentration in prey items; lipid-normalized, if possible (ECB 2003).

If no measured BCF is available, a value can be estimated using the log  $K_{\rm ow}$  from the following linear free energy relationship (Mackay 1982), which was derived for chemicals with log  $K_{\rm ow}$  values ranging from ~2 to ~7:

$$Log BCF = log K_{ow} - 1.32 \tag{3.25}$$

Crosby (1998) cautions that predictions using this equation are less accurate for compounds with log BCF values above 5 or below 2. If equation 3.25 gives a result outside this range, then a more appropriate LFER should be sought in the literature.

If no measured BMF is available, use an appropriate default value from Table 3.17 (based on log  $K_{ow}$  or BCF, ECB 2003). Note that the default BMF values based on log  $K_{OW}$  in Table 3.17 represent high estimates in light of studies showing no biomagnification of compounds with log  $K_{OW}$  values < 6 (Berglund *et al.* 2000; Varó *et al.* 2002). In the case of chlorpyrifos (log  $K_{ow}$  = 4.96), Varó *et al.* (2002) attribute the lack of biomagnification, in part, to the biotransformation and depuration ability of organisms at higher trophic levels. For compounds that are readily biotransformed, the default values based on BCF should be used in favor of those based on log  $K_{OW}$ .

Alternatively, if a bioaccumulation factor (BAF) is available for fish, then equation 3.23 is modified to:

$$NOEC_{water} = \frac{NOEC_{oral-predator}}{BAF_{fish}}$$
(3.26)

where:

 $NOEC_{water} = NOEC$  in water;

 $NOEC_{oral\_predator}$  = dietary NOEC for wildlife or FDA action level (mg pesticide/kg food);  $BAF_{fish}$  = bioaccumulation factor in fish; ratio of concentration of chemical in tissue due to water plus dietary exposure to concentration in water; lipid normalized for chemicals with  $logK_{ow} > 3$ .

Equation 3.24 can be modified in the same way, substituting BAF for (BCF\*BMF).

If no BAF value is available, then equation 3.23 or 3.24 must be used, and if no measured BMF value is available, then the appropriate default value should be used (Table 3.17). If multiple BCF, BAF or BMF values are available for a chemical, the geometric mean of all acceptable values should be used.

To determine compliance, compare the  $NOEC_{water}$  derived from one of the equations in this section to the water quality criterion. If it is above the criterion, then the no adjustment of the criterion is necessary. If the  $NOEC_{water}$  is below the criterion, then the criterion may require downward adjustment.

## 3-6.3 Ecosystem and other studies

Evaluate the criteria against laboratory, field or semi-field data from acceptable multispecies studies (rated R or L) to judge whether they will be protective of ecosystems. Make this judgment based on reported ecosystem NOEC values, or on NOEC, EC, IC or LC values for individual species within the system. If toxicity values obtained for appropriate endpoints (i.e., those related to survival, growth or reproduction) in these studies are lower than the derived criteria, then criteria may need to be adjusted downward.

#### 3-6.4 Threatened and endangered species

Criteria derived to protect the most sensitive species in ecosystems should be protective of threatened and endangered species (TES). However, a few tools are available to investigate this more rigorously. The guidance presented here may be used to assess whether criteria derived by the new methodology will be protective of TES.

First, obtain the latest list of California TES available from the California Department of Fish and Game web site (www.dfg.ca.gov/hcpb/species/t\_e\_spp/tespp.shtml, CDFG 2006a; b).

Then, for comparison to acute criteria:

- 1) Compare criteria to toxicity values from acceptable studies of effects on TES.
- 2) If no toxicity values are available for a TES, but an acceptable acute toxicity value is available for a surrogate species in the same family or genus as the TES, then use the ICE program (v. 1.0; available at http://www.epa.gov/ceampubl/fchain/index.htm) to estimate a

toxicity value for the TES (USEPA 2003c, documentation provided in Appendix 3C). Compare this estimated value to the acute criterion.

3) If no surrogate value is available, and if the chemical of interest has a narcotic mode of action, select a QSAR (e.g., from OECD 1995; RIVM 2001) that can be used to estimate toxicity to the TES or to a surrogate based on a log  $K_{ow}$  value. Note that while many industrial chemicals have a narcotic mode of action, very few pesticides fall into this category. Fumigants, (e.g., methyl bromide, naphthalene, chloropicrin, and others) are a class of pesticides with a narcotic mode of action (USEPA 2006). For a complete list of fumigants used in the United States, see USEPA (2006).

For comparison to chronic criteria:

- 1) Compare criteria to toxicity values from acceptable studies of effects on TES.
- 2) If no surrogate value is available, and if the chemical of interest has a narcotic mode of action, select a QSAR (e.g., from OECD 1995; RIVM 2001) that can be used to estimate toxicity to the TES or to a surrogate based on a  $logK_{ow}$  value.

The QSARs from RIVM (2001) and OECD (1995) are given in Table 3.18. These are presented as examples and do not preclude the use of other QSARs that may be established in published studies in the future.

If no data for the TES or acceptable surrogates are available, and if no applicable QSARs are available, then it will not be possible to assess whether the criteria will be protective of these species. If any of the above comparisons reveal that a criterion is higher than any of the TES toxicity values (or estimated values), then the criterion may need to be adjusted downward.

#### 3-6.5 Harmonization/coherence across media

Steady-state environmental models may be used to assess harmony, or coherence, of chronic criteria across all environmental media. As this analysis is based on equilibrium partitioning, it is not necessary to consider acute criteria. If there are no levels of concern established for sediment, air, or biota compartments, then there is no need to use this procedure. Concern for bioaccumulation/secondary poisoning that may affect wildlife or human health is addressed by the procedure outlined in section 3-6.2. Acceptable, freely available models include:

- 1) Exposure Analysis Modeling System (EXAMS, Burns 2004) available from the USEPA Center for Exposure Assessment Modeling (CEAM; http://www.epa.gov/ceampubl/swater/index.htm). The user manual is included in Appendix 3C; the software can be downloaded directly from the USEPA website.
- 2) MacKay's Fugacity-Based Environmental Equilibrium Partitioning Models (Mackay 2001), from the Canadian Environmental Monitoring Center (CEMC;

http://www.trentu.ca/cemc/). The user manuals for Levels I, II and III are included in Appendix 3C; the software can be downloaded directly form the CEMC website.

The different fate models vary in complexity, and require the use of default environmental parameter values when measured values are not available, but they can provide rough estimates of equilibrium concentrations of chemicals in all environmental compartments based on a given concentration in water (i.e., the chronic criterion concentration) and a few physical-chemical parameters for the chemical.

Because of its relative ease of use, the Level I fugacity model is recommended as a rough first-pass evaluation of equilibrium concentrations. In using this model, the total mass of chemical in the system is adjusted until the equilibrium concentration in water is at the chronic criterion level. The model should be run over a range of values for parameters that may affect equilibria (e.g., organic carbon levels or fish lipid levels). If no harmonization problems are apparent from a series of Level I analyses (i.e. steady-state concentrations in all compartments are below their respective levels of concern), then no further analysis is necessary. However, if any problems are identified, then site-specific data should be obtained to allow more refined modeling.

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For all models used, state all input parameters, conditions and assumptions. Compare model outputs, based on having a chemical of concern at its chronic criterion level in water, to appropriate levels of concern established for the non-water compartments (e.g., sediment or air quality criteria or FDA action levels). If the steady-state concentrations in all compartments are acceptable then the water quality criterion is acceptable. If the concentration in a non-water compartment is projected to exceed a concentration of concern, then the criterion may need to be adjusted downward.

#### 3-7.0 Final criteria statements

Criteria will be stated as follows (based on USEPA 1985; 2003d):

Aquatic life in the Sacramento River and San Joaquin River basins should not be affected unacceptably if the four-day average concentration of (1) does not exceed (2)  $\mu$ g/L more than once every three years on the average and if the one-hour average concentration does not exceed (3)  $\mu$ g/L more than once every three years on average.

#### where:

- (1) = insert name of chemical
- (2) = insert the chronic criterion
- (3) = insert the acute criterion

These averaging periods may be modified if data and/or models become available that can scientifically defend altering them.

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Appendix 3A Figures

Figure 3.1 Data flow

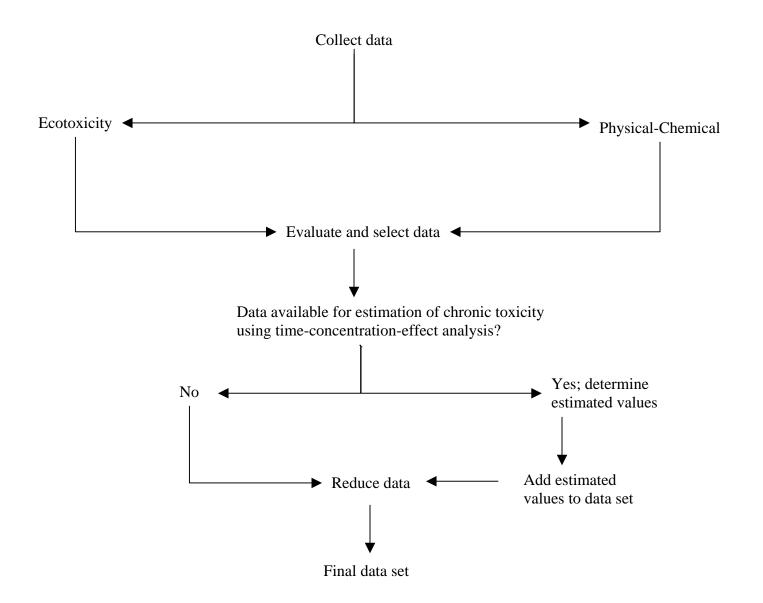


Figure 3.2 Criteria derivation flow chart

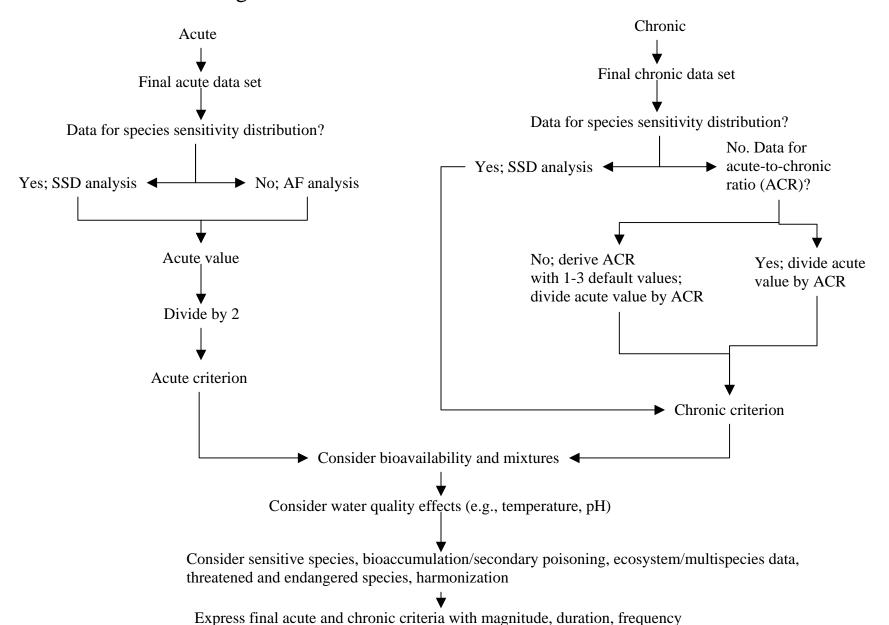


Figure 3.3. Data summary sheet; page 1

## Toxicity Data Summary

•	
Relevance Score: Rating:	Reliability Score: Rating:

Reference		
Parameter	Value	Comment
Test method cited		
Phylum		
Class		
Order		
Family		
Genus		
Species		
Family in North America?		
Age/size at start of test/growth		
phase		
Source of organisms		
Have organisms been exposed to		
contaminants?		
Animals acclimated and disease-		
free?		
Animals randomized?		
Test vessels randomized?		
Test duration		
Data for multiple times?		
Effect 1		
Control response 1		
Effect 2		
Control response 2		
Effect 3		
Control response 3		
Temperature		
Test type		
Photoperiod/light intensity		
Dilution water		
pН		
Hardness		

Reference		
Parameter	Value	Comment
Alkalinity		
Conductivity		
Dissolved Oxygen		
Feeding		
Purity of test substance		
Concentrations measured?		
Measured is what % of nominal?		
Chemical method documented?		
Concentration of carrier (if any) in		
test solutions		
Concentration 1 Nom/Meas (µg/L)		Reps and # per (cell
		density for single-
		celled organisms):
Concentration 2 Nom/Meas (µg/L)		Reps and # per (cell
		density for single
Concentration 3 Nom/Meas (µg/L)		Reps and # per (cell
		density for single
Concentration 4 Nom/Meas (µg/L)		Reps and # per (cell
		density for single
Concentration 5 Nom/Meas (µg/L)		Reps and # per (cell
		density for single
Control		Reps and # per (cell
		density for single
LCx; indicate calculation method		
ECx; indicate calculation method		
NOEC; indicate calculation		Method:
method, significance level (p-value)		p:
and minimum significant difference		MSD:
(MSD)		
LOEC; indicate calculation method		
MATC (GeoMean NOEC,LOEC)		
% control at NOEC		
% of control LOEC		

Other notes:

Appendix 3B Tables

Table 3.1. Data sources. Original sources identified through handbooks, review articles, etc., should be evaluated.

Source	Details/Notes	Date(s)
University Libraries		
Electronic databases	See Table 3.2 for list and details	
Handbooks		
ECETOC	Aquatic toxicity data evaluation.	1993
Howard	Handbook of environmental fate and exposure data for organic chemicals. Vol. III: Pesticides	1991
Mackay et al.	Illustrated handbook of physical- chemical properties and environmental fate for organic chemical. Volume V. Pesticide chemicals	Book: 1997 CD-ROM: 1999
MITI	Biodegradation and bioaccumulation data on existing data based on the CSCL Japan	1992
Nikunen et al.	Environmental properties of chemicals	2003
Verschueren	Handbook of environmental data on organic chemicals, 2 <sup>nd</sup> edition	Print: 1983 CD-ROM: 2001
Others		
Review articles e.g., Racke	Environmental fate of chlorpyrifos	1993
e.g., Laskowski	Physical and chemical properties of pyrethroids	2002
Internal databases		
International criteria documents/government reports Laboratory reports	Often available via the Internet	
Manufacturer data	May be proprietary	
Memos	May not be available	
Registration packets	Can be difficult to obtain	

Table 3.2. Web addresses for various electronic resources.

Table 3.2. Web addresses for various electronic resources.				
Database	Description/contents	URL		
CLOGP	$K_{ow}$ calculator available through Bio- Loom	www.biobtye.com		
BIOSIS	Bibliographic; multidiscipliinary	http://www.biosis.org/		
Chemical Abstracts	Bibliographic; primarily chemistry, life sciences	http://www.cas.org/		
Current Contents	Bibliographic: multidisciplinary	http://scientific.thomson.c om/products/ccc/		
ECOTOX (was AQUIRE)	Single chemical toxicity information for aquatic and terrestrial life	http://www.epa.gov/ecoto x/		
EFDB	Environmental Fate Data Base; access to DATALOG, BIOLOG, CHEMFATE, BIODEG	http://www.syrres.com/esc /efdb.htm		
DATALOG	Bibliographic; environmental fate			
BIOLOG	Microbial toxicity and biodegradation			
CHEMFATE	Environmental fate and chemical- physical properties			
BIODEG	Biodegradation data			
EXTOXNET	Extension Toxicology Network; pesticide profiles and toxicology information	http://extoxnet.orst.edu/		
Estimation Program Interface Suite	Tools from USEPA for estimation of numerous physical-chemical parameters	http://www.epa.gov/oppt;e xposure/docs/episuite.htm		
KowWin	Octanol-water partition coefficient program. Syracuse Research Corporation, New York, NY.	http://www.syrres.com/esc /est_soft.htm		
LOGKOW	Sangster Research Laboratories	http:// logkow.cisti.nrc.ca/logko w/index.jsp		
Pesticide Action Nework	Bibliographic; toxicity and regulatory information for pesticides	http://www.pesticideinfo.org/Index.html		
PHYSPROP	Physical Properties; chemical structures, names and physical properties	http://www.syrres.com/esc /physprop.htm		
Pesticide Ecotoxicity Database	USEPA Office of Pesticide Programs toxicity database for registered pesticides	http://www.ipmcenters.or g/Ecotox		

Table 3.2. Web addresses for various electronic resources.

Database	Description/contents	URL
POLTOX via OVID	Bibliographic; pollution and toxicology; plants, animals, and humans.	http://www.ovid.com
PubMed	Bibliographic; medicine, life sciences, molecular biology, genetics, others	http://www.ncbi.nlm.nih.g ov/entrez/query.fcgi?DB= pubmed
TOXNET	Access to HSDB, TOXLINE, IRIS	http://toxnet.nlm.nih.gov/
HSDB	Hazardous Substances Data Bank	
TOXLINE	Toxicology Literature Online	
IRIS	Integrated Risk Information Systme	
TSCATS	Bibliographic; Toxic Substances Control Act submission data	http://www.syrres.com/esc /tscats.htm
Web of Science	Bibliographic; access to Institute for Scientific Information Citation Databases	http://scientific.thomson.c om/products/wos/

Table 3.3. Kinds of data that should be collected for criteria derivation.

Category	Data
Physical-chemical	BAF (bioaccumulation factor)
	BCF (bioconcentration factor)
	BMF (biomagnification factor)
	CAS (chemical abstract service number)
	Chemical formula
	Density
	IUPAC name
	K <sub>H</sub> (Henry's Law constant)
	Log K <sub>d</sub> (solid-water partition coefficient)
	Log K <sub>DOC</sub> (dissolved organic carbon-water partition coefficient)
	Log K <sub>OC</sub> (organic carbon-water partition coefficient)
	Log K <sub>ow</sub> (octanol-water partition coefficient)
	Melting point
	Molecular weight
	pK <sub>a</sub> (acid dissociation constant)
	S (aqueous solubility)
	Structure
	$t_{1/2}$ (half-life), hydrolysis, photolysis, biotic degradation
	Vapor pressure
Ecotoxicity	Acute (survival, immobilization)
·	Aquatic insects
	Aquatic plants
	Bioavailability
	Chemical mixtures
	Chronic (survival, growth, reproduction, embryonic/shell development, hatching, germination, behavior effects, enzyme inhibition, endocrine disruption, other physiological effects, insect control, changes in species diversity or abundance) Field
	Fish
	Insects
	Laboratory
	Mesocosm
	Microcosm
	Multi-species
	Non-insect aquatic invertebrates
	Single chemical
	Single-species
	Wildlife
Human health	FDA action levels

Table 3.4. Acceptable methods for determination of physical-chemical parameters other than the octanol-water partition coefficient,  $K_{\rm ow}$ .

Constant	Method	Notes	Reference
Bioconcentration Factor, BCF	Flow-through; fish	Determines apparent steady state BCF	OECD 305 (1996)
	Flow-through; fish and mollusks	Determines apparent steady state BCF	ASTM E 1022-94 (2002a)
Dissociation, pK <sub>a</sub>	Conductometric	Onsager (1927) equation must hold; Acid/base dissociations; Non-acid/base dissociations	OECD 112 (1981)
	Spectrophotometric	Solubility: low to high; Differential uv/vis absorption for ionized vs. unionized species; Acid/base dissociations; Non-acid/base dissociations	66
	Titration	Solubity: moderate to high	"
Hydrolysis Rate	Tiered approach	Deterimines rate in acidic, basic and neutral conditions	ASTM E895-89 (2001a)
	Tiered approach	Deterimines rate in acidic, basic and neutral conditions	OECD 111 (2004)
Solid-water partition,	Batch Equilibrium	Colloidal binding can reduce accuracy	ASTM E 1195-01 (2001b)
K <sub>d</sub> , K <sub>oc</sub>	Batch Equilibrium	Colloidal binding can reduce accuracy	OECD 106 (2000)
	Batch Equilibrium Co-solvent	Corrects for colloid binding	Evers & Smedes (1993)
	HPLC	Estimation technique	OECD 121 (2001)
Solubility, S	Column Elution	Solubility < 10 <sup>-2</sup> g/L	OECD 105 (1995b)
	Flask	Solubility > 10 <sup>-2</sup> g/L	44
	Flask	Solubility $\geq 1 \text{ mg/L}$	ASTM E 1148-02 (2002b)
	Generator Column	Solubility < 1 mg/L	66
	Nephelometric	Solubility $\geq 1 \text{ mg/L}$	"

Table 3.5. Acceptable experimental and computational techniques for determination of the octanol-water partition coefficient, K<sub>ow</sub>, and the priority for their use (USEPA 2003a).

 $Log K_{ow} < 4$ 

Method	Reference	Priority
Slow stir	Debruijn <i>et al.</i> (1989)	1
Generator-column	USEPA (1996a)	1
Shake-flask	USEPA (1996b)	1
HPLC w/ extrapolation to	ASTM E 1147-92 (1997)	2
0% solvent		
HPLC w/o extrapolation to	ASTM E 1147-92 (1997)	3
0% solvent		
CLOGP program	Through Bio-Loom at www.biobtye.com	4

 $Log K_{ow} > 4$ 

Method	Reference	Priority
Slow stir	Debruijn <i>et al.</i> (1989)	1
Generator-column	USEPA (1996a)	1
HPLC w/ extrapolation to	ASTM E 1147-92 (1997)	2
0% solvent		
HPLC w/o extrapolation to	ASTM E 1147-92 (1997)	3
0% solvent		
Shake-flask	USEPA (1996b)	4
CLOGP program	Through Bio-Loom at www.biobtye.com	5

Table 3.6. Rating of relevance/usability of data for derivation of criteria for the Sacramento and San Joaquin River watersheds.

Parameter	Score
Acceptable standard (or equivalent) method used	10
Endpoint linked to survival/growth/reproduction	15
Freshwater	15
Chemical $\geq 80\%$ pure	15
Species is in a family that resides in North America	15
Toxicity value calculated or calculable (e.g. LC50)	15
Controls	15
Described (i.e. solvent, dilution water, etc.)	7.5
Response reported and meets acceptability	
requirements	7.5
Total	100

Table 3.7 Documentation rating for aquatic laboratory data (adapted from ECOTOX 2006). Full score is given if parameter is reported; 0 score is given if not.

Parameter <sup>1</sup>	Score <sup>2</sup>
Results published or in signed, dated format	6
Exposure duration	12
Control type	8
Organism information (i.e. age, life stage, etc.)	
Source	5
Age/life stage/size/growth phase	5
Chemical	
Grade or purity	5
Analytical method (if measured)	4
Nominal concentrations	3
Measured concentrations	3
Exposure type	5
Dilution water source	3
Hardness	2
Alkalinity	2
Dissolved oxygen	4
Temperature	4
Conductivity	2
pH	3
Photoperiod and/or light intensity (plant studies must include	3
intensity)	
Statistics	
Methods identified	5
Hypothesis tests	
Statistical significance	2
Significance level	2
Minimum significant difference	2
% of control at NOEC and/or LOEC	2
Point estimates (i.e. LC50, EC25, etc.)	8
Total	100

<sup>1</sup> Compiled from RIVM (2001), USEPA (1985; 2003d), ECOTOX (2006), CCME (1999), ANZECC & ARMCANZ (2000), OECD (1995), and Van Der Hoeven *et al.* (1997).

<sup>&</sup>lt;sup>2</sup> Weighting based acceptability criteria from various ASTM, OECD, APHA, and USEPA methods, ECOTOX (2006), and on data quality criteria in RIVM (2001), USEPA (1985; 2003d), CCME (1999), ANZECC & ARMCANZ (2000), OECD (1995), and Van Der Hoeven *et al.* (1997).

Table 3.8. Acceptability rating for aquatic laboratory data (adapted from ECOTOX 2006). Score is given if parameter met standard test guidance; score of 0 is given if parameter was not reported or did not meet test guidance.

Parameter	Score
Acceptable standard (or equivalent) method used (e.g. ASTM, USEPA, OECD, APHA)	5
Test was of appropriate duration Control	2
Appropriate (e.g. solvent control included, if carrier was used)	6
Response within test guidance	9
Chemical	
Purity > 80% pure	10
Measured concentrations within 20% of nominal	4
Concentrations do not exceed 2x water solubility	4
Carrier solvent $\leq 0.5$ mL/L (acute); $\leq 0.1$ mL/L (chronic); score 4 if not used	4
Organisms	
Appropriate size/age/growth phase	3
No prior contaminant exposure	4
Organisms randomly assigned to test containers	1
Adequate number per replicate/appropriate cell density	2
Organisms not fed in acute tests; fed appropriately in chronic tests	3
Organisms properly acclimated and disease-free prior to testing	1
Exposure type and renewal frequency appropriate to chemical	2
Dilution water source acceptable	2
Hardness within organism tolerance and/or dilution water specifications	2
Alkalinity within organism tolerance and/or dilution water specifications	2
Dissolved oxygen $\geq 60\%$	6
Temperature within organism tolerance (3 pts) and/or test guidance and held to $\pm$ 1°C (3 pts)	6
Conductivity within organism tolerance and/or dilution water specifications	1
pH within organism tolerance and/or dilution water specifications	2
Photoperiod and light intensity within organism tolerance and/or test guidance Statistics	2
Adequate number of concentrations	3
Random or random block design employed	2
Adequate replication	2
Appropriate spacing between concentrations (dilution factor $\geq 0.3$ )	2
Appropriate statistical method used	2
Hypothesis tests	
Minimum significant difference (MSD) upper bound acceptable <sup>3</sup>	1
NOEC response reasonable compared to control <sup>4</sup>	1
LOEC response reasonable compared to control <sup>4</sup>	1
Point estimates	
LC/EC values calculable (i.e., no < or > results)	3
Total	100

<sup>1</sup> Compiled from RIVM (2001), USEPA (1985; 2003d), ECOTOX (2006), CCME (1999), ANZECC & ARMCANZ (2000), OECD (OECD 1995), and Van Der Hoeven *et al.* (1997).

<sup>&</sup>lt;sup>2</sup> Weighting based acceptability criteria from various ASTM, OECD, APHA, and USEPA methods, ECOTOX (2006), and on data quality criteria in RIVM (2001), USEPA (1985; 2003d), CCME (1999), ANZECC & ARMCANZ (2000), OECD (1995), and Van Der Hoeven *et al.* (1997).

<sup>&</sup>lt;sup>3</sup> Acceptable MSD levels are species and test-method specific; see USEPA (2002) for upper bounds for several standard test species.

<sup>&</sup>lt;sup>4</sup> Reasonableness is decided using professional judgment on a case-by-case basis, based on MSD upper bound and potential biological significance of response level.

Table 3.9. Documentation and acceptability rating for aquatic outdoor field data and indoor

model ecosystems (adapted from ECOTOX 2006).

Parameter <sup>1</sup>	Score <sup>2</sup>
Results published or in signed, dated format	5
Exposure duration and sample regime adequately described	6
Unimpacted site (score 7 for artificial systems)	7
Adequate range of organisms in system (1° producers, 1°, 2° consumers)	6
Chemical	
Grade or purity stated	6
Concentrations measured and reported	2
Analysis method stated	2
Habitat described (e.g., pond, lake, ditch, artificial, lentic, lotic, etc.)	6
Water Quality	
Source identified	3
Hardness reported	2
Alkalinity reported	2
Dissolved oxygen reported	2
Temperature reported	2
Conductivity reported	2
pH reported	2
Photoperiod reported	2
Organic carbon reported	2
Chemical fate reported	3
Geographic location identified (score 2 for indoor systems)	2
Pesticide application	
Type reported (e.g. spray, dilutor, injection, etc.)	2
Frequency reported	2
Date/season reported (score 2 for indoor systems)	2
Test endpoints	
Species abundance reported	3
Species diversity reported	3
Biomass reported	2
Ecosystem recovery reported	2
Statistics	
Methods identified	2
At least 2 replicates	3
At least 2 test concentrations and 1 control	3
Dose response observed	2
Hypothesis tests	
NOEC determined	4
Significance level stated	2
Minimum significant difference reported	2
% of control at NOEC and/or LOEC reported or calculable	2
Total	100

<sup>&</sup>lt;sup>1</sup> Compiled from RIVM (2001), USEPA (1985; 2003d), ECOTOX (2006), CCME (1999),

ANZECC & ARMCANZ (2000), OECD (1995), and Van Der Hoeven *et al.* (1997). <sup>2</sup> Weighting based ECOTOX (2006) and on data quality criteria in RIVM (2001) and OECD (1995).

Table 3.10. Documentation and acceptability rating for terrestrial laboratory/field data (adapted from ECOTOX 2006). Score is given if parameter is reported.

Parameter <sup>1</sup>	Score <sup>2</sup>
Exposure duration	20
Control type	7
Organism information (i.e. age, life stage, etc.)	8
Chemical grade or purity	5
Chemical analysis method	5
Exposure type (i.e., dermal, dietary, gavage,	10
etc.)	
Test location (i.e., laboratory, field, natural	5
artificial)	
Application frequency	5
Organism source	5
Organism number and/or sample number	5
Dose number	5
Statistics	
Hypothesis tests	
Statistical significance	5
Significance level	5
Minimum significant difference	3
% of control at NOEC and/or LOEC	3
Point estimates (i.e. LC50, EC25, etc.)	4
Total	100

<sup>&</sup>lt;sup>1</sup> Compiled from ECOTOX (2006) and Van Der Hoeven *et al.* (1997). <sup>2</sup> Weighting based on ECOTOX (2006).

Table 3.11. Data categories based on relevance and reliability scores. N = not relevant/not reliable; L = less relevant/reliable; R = relevant, reliable. Unshaded category is used for criteria derivation; light shaded category is used for supporting data; dark shaded category is not usable.

	Reliability			
	Score	0-59	60-73	74-100
Relevance	0-69	NN	LN	RN
	70-89	NL	LL	RL
	90-100	NR	LR	RR

Table 3.12. Critical ratios (p = 0.05; one-tailed) for outlier test of samples up to n = 25; from Dixon (1953).

Sample size (n)	Critical Value of Ratio (r)
3	0.941
4	0.765
5	0.642
6	0.560
7	0.507
8	0.554
9	0.512
10	0.477
11	0.576
12	0.546
13	0.521
14	0.546
15	0.525
16	0.507
17	0.490
18	0.475
19	0.462
20	0.450
21	0.440
22	0.430
23	0.421
24	0.413
25	0.406

Table 3.13. Critical ratios ( $p = 0.05$ ; one-tailed) for testing outliers for sample sizes of $n = 25$ -
100; from Grubbs & Beck (1972, includes values for sample sizes up to 147).

100, Holli Grubos &	Deck (1772, metades var		10 177).
Sample size (n)	Critical ratio (r)	Sample size (n)	Critical ratio (r)
26	2.681	64	3.049
27	2.698	65	3.055
28	2.714	66	3.061
29	2.730	67	3.066
30	2.745	68	3.071
31	2.759	69	3.076
32	2.773	70	3.082
33	2.786	71	3.087
34	2.799	72	3.092
35	2.811	73	3.098
36	2.823	74	3.102
37	2.835	75	3.107
38	2.846	76	3.111
39	2.857	77	3.117
40	2.866	78	3.121
41	2.877	79	3.125
42	2.887	80	3.130
43	2.896	81	3.134
44	2.905	82	3.139
45	2.914	83	3.143
46	2.923	84	3.147
47	2.931	85	3.151
48	2.940	86	3.155
49	2.948	87	3.160
50	2.956	88	3.163
51	2.964	89	3.167
52	2.971	90	3.171
53	2.978	91	3.174
54	2.986	92	3.179
55	2.992	93	3.182
56	3.000	94	3.186
57	3.006	95	3.189
58	3.013	96	3.193
59	3.019	97	3.196
60	3.025	98	3.201
61	3.032	99	3.204
62	3.037	100	3.207
63	3.044		

Table 3.14. Extrapolation constants, k, for median and lower 95% confidence limit estimates of the 5<sup>th</sup> percentile value using a log-logistic distribution (taken from Aldenberg & Slob 1993).

n Median Lower 95% co	onfidence limit
2 2.49 27.7	
3 2.05 8.14	
4 1.92 5.49	
5 1.85 4.47	
6 1.81 3.93	
7 1.78 3.59	
8 1.76 3.37	
9 1.75 3.19	
10 1.73 3.06	
11 1.72 2.96	
12 1.72 2.87	
13 1.71 2.80	
14 1.70 2.74	
15 1.70 2.68	
20 1.68 2.49	
30 1.66 2.28	
50 1.65 2.10	
100 1.64 1.95	
200 1.63 1.85	
500 1.63 1.76	
$\infty$ 1.62 1.62	

Table 3.15. Assessment factors to apply to lowest acute values in data sets of fewer than 5 values.

Number of data	Factor
1	$57 \times 10^{1}$
2	36
3	7.8
4	5.1

The factor 57 was derived from pesticide data; the 10 is an additional factor assessed to protect against cases in which Daphnids are among the most tolerant species.

Table 3.16. Calculation of default acute-to-chronic ratio (ACR)

Chemical	ACR
Chlordane	14 <sup>1</sup>
Chlorpyrifos	$2.2^{2}$
Diazinon	$3.0^{3}$
Dieldrin	$8.5^{1}$
Endosulfan	$3.9^{1}$
Endrin	$4.0^{1}$
Lindane	$25^{1}$
Parathion	$10^{1}$
80 <sup>th</sup> percentile	12.4

Table 3.17. Default BMF values (ECB 2003)

Log K <sub>ow</sub>	BCF	BMF
< 4.5	< 2,000	1
4.5 - < 5	2,000-5,000	2
5 - 8	5,000	10
> 8 - 9	2,000-5,000	3
> 9	< 2,000	1

<sup>&</sup>lt;sup>1</sup> Host *et al.* (1995)
<sup>2</sup> This methodology
<sup>3</sup> Siepmann & Finlayson (2000)

Table 3.18. QSARS for estimating toxicity from  $K_{ow}$  for chemicals acting by narcosis; from OECD (1995) and RIVM (2001).

OECD (1995) and RIVM (2001).		
Species	Equation	
Acute Toxicity	Summarized in OECD 1995a	
Pimephales promelas	$\log LC_{50}$ (mM) = -0.94 $\log K_{ow} + 0.94 \log (0.00068 K_{ow} + 1) + 1.75$ (Veith <i>et al.</i> 1983)	
Poecilia reticulata	$\log LC_{50} \text{ (mM)} = -0.87 \log K_{ow} + 1.87 \text{ (Konemann 1981)}$	
Daphnia magna	$\log EC_{50}$ (mM) = -0.91 $\log K_{ow} + 1.72$ (Hermens <i>et al.</i> 1984)	
Chronic Toxicity	Summarized in OECD (1995)	
Brachydanio rerio/ Pimephales promelas	log NOEC (mM) = -0.90 log $K_{ow}$ + 0.8 (Call <i>et al.</i> 1985; Van Leeuwen <i>et al.</i> 1990)	
Daphnia magna	log NOEC (mM) = -1.04 log $K_{ow}$ + 1.30 (Dewolf <i>et al.</i> 1988; Kuhn <i>et al.</i> 1989)	
Daphnia magna	log NOEC (mM) = -1.07 log $K_{ow} + 1.25$ (Dewolf <i>et al.</i> 1988)	
Selenastrum capricornutum	log NOEC (mM) = -1.00 log $K_{ow}$ + 1.77 (Calamari <i>et al.</i> 1983; Galassi <i>et al.</i> 1988)	
Chronic Toxicity	Summarized in RIVM (2001) from Van Leeuwen <i>et al.</i> (1992); Verhaar <i>et al.</i> (1994)	
Sleletonema costacum	$\log NOEC (M) = -0.72 \log K_{ow} - 1.42$	
Scenedesmus subspicatus	$\log NOEC (M) = -0.86 \log K_{ow} - 1.41$	
Selenastrum capricornutum	$\log NOEC (M) = -1.00 \log K_{ow} - 1.71$	
Tetrahymena pyriformis	$\log NOEC (M) = -0.80 \log K_{ow}128$	
Lymnaea stagnalis	$\log NOEC (M) = -0.86 \log K_{ow} - 2.08$	
Nitocra spinipes	$\log NOEC (M) = -0.78 \log K_{ow} - 2.14$	
Daphnia magna	$\log NOEC (M) = -1.04 \log K_{ow} - 1.70$	
Aedes aegypti	$\log NOEC (M) = -1.09 \log K_{ow} - 1.36$	
Culex pipiens	$\log NOEC (M) = -0.86 \log K_{ow} - 1.98$	
Brachydanio rerio/ Pimephales promelas	$\log NOEC (M) = -0.87 \log K_{ow} - 2.35$	
Ambystoma mexicanum	$\log NOEC (M) = -0.88 \log K_{ow} - 1.89$	
Rana temporaria	$\log NOEC (M) = -1.09 \log K_{ow} - 1.47$	
Xenopus laevis	$\log NOEC (M) = -0.90 \log K_{ow} - 1.79$	